

# Pre-training Molecular Graph Representation with 3D Geometry —Rethinking SSL on Structured Data

Shengchao Liu, Hanchen Wang, Weiyang Liu, Joan Lasenby, Hongyu Guo, Jian Tang

# Pipeline

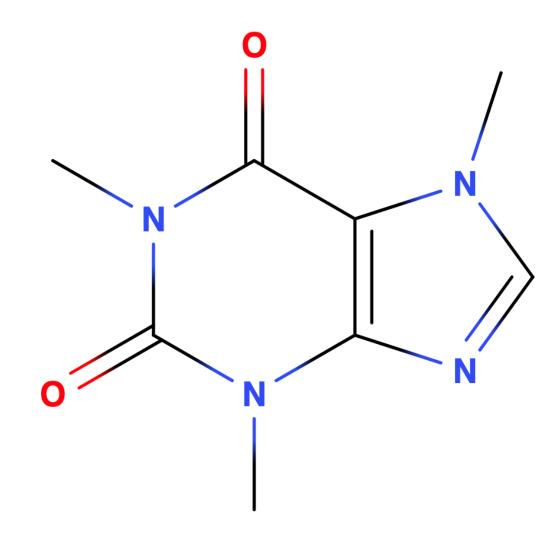
- 1 Motivation & Problem Definition
- 2 Related Work
- 3 Preliminaries
- 4 Method: GraphMVP
- **5 Experiments**
- 6 Future Directions: SSL on Structured Data

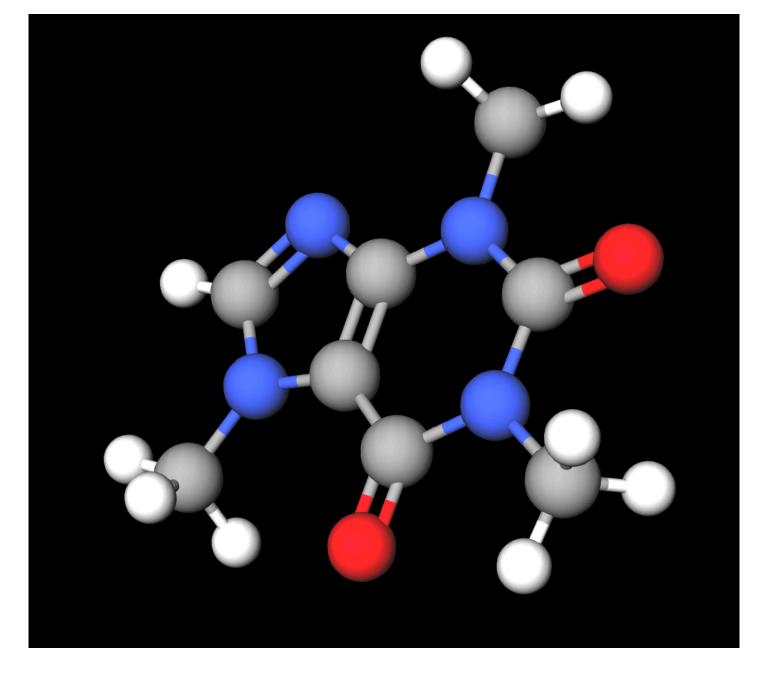
#### Ultimate goal:

- Molecular property prediction on target (downstream) tasks.
- MoleculeNet [1]: only 2D topology for molecular graph is available.

Indeed, molecules can also have 3D geometry.

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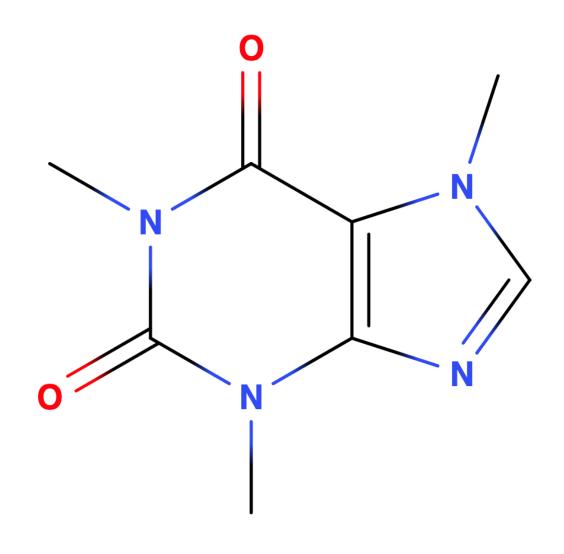


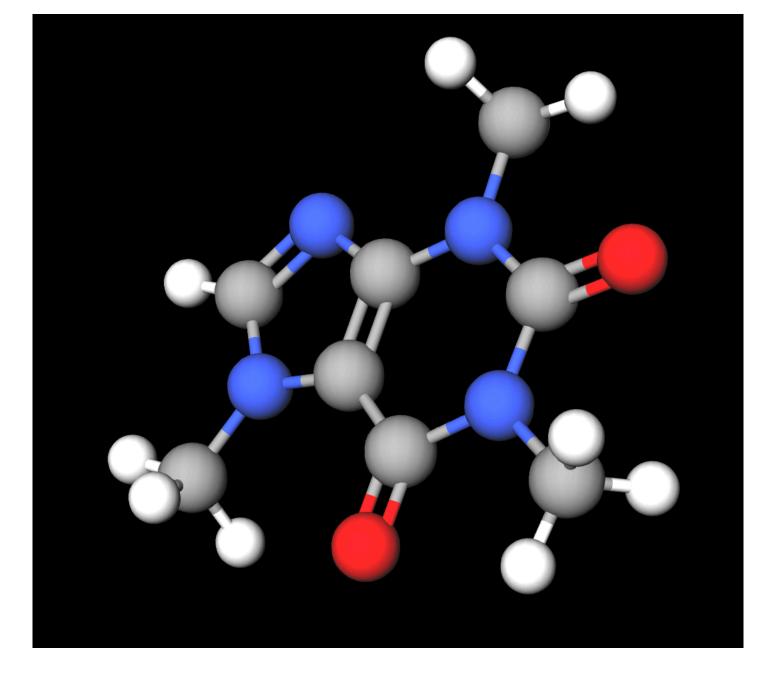


3D Molecular Graph

Indeed, molecules can also have 3D geometry.

• 3D geometry is more accurate for molecular property prediction.

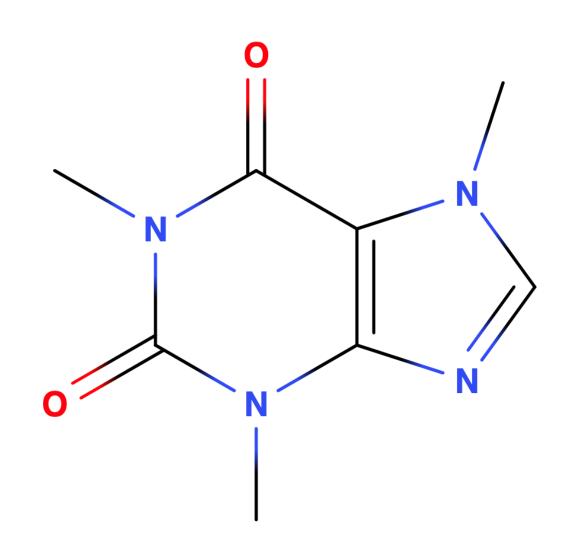


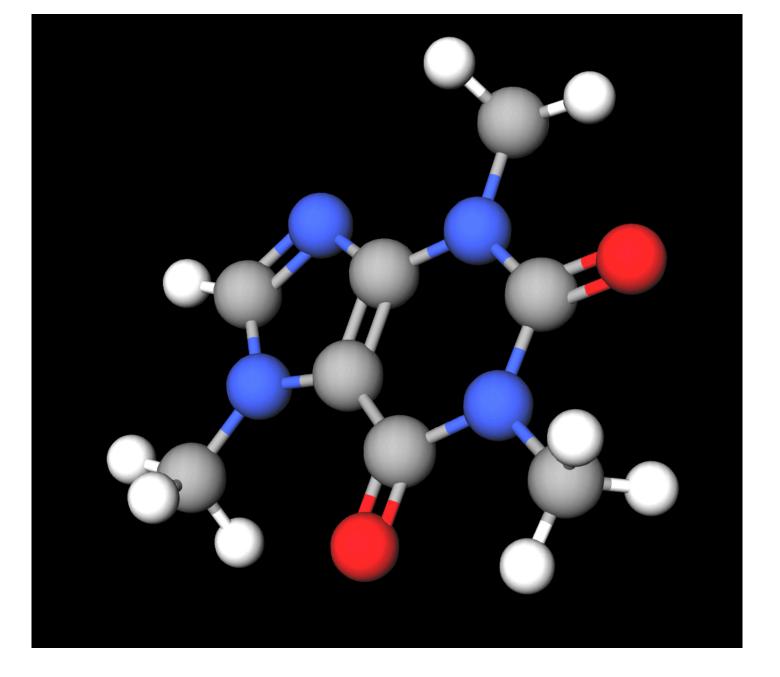


3D Molecular Graph

Indeed, molecules can also have 3D geometry.

- 3D geometry is more accurate for molecular property prediction.
- 3D geometry is more expensive to obtain (e.g. physical simulation).





3D Molecular Graph

Community has put more efforts in gathering large-scale 3D geometry datasets.

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A: Yes!

- Graph Multi-View Pre-training (GraphMVP) on 2D and 3D views.
- Pre-training: large-scale dataset with 2D and 3D graph.
- Fine-tuning: downstream tasks with 2D graph only.



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#### **General:**

- Two augmentation views in SimCLR.
- Local and global views in Deep InfoMax.
- Masked and visual patches in BEiT.

• ...

## 2 Related Work

Widely discussed in [1, 2, 3, 4].

#### Contrastive SSL:

- Inter-data
- Examples: InfoNCE, Jense-Shannon Estimation

#### Generative SSL:

- Intra-data
- Examples: Masked Auto-Encoding, BYOL, SimSiam

<sup>[1]</sup> Liu, Xiao, et al. "Self-supervised learning: Generative or contrastive." IEEE Transactions on Knowledge and Data Engineering (2021).

<sup>[2]</sup> Liu, Yixin, et al. "Graph self-supervised learning: A survey." arXiv preprint arXiv:2103.00111 (2021).

<sup>[3]</sup> Wu, Lirong, et al. "Self-supervised on graphs: Contrastive, generative, or predictive." arXiv e-prints (2021): arXiv-2105.

<sup>[4]</sup> Xie, Yaochen, et al. "Self-supervised learning of graph neural networks: A unified review." arXiv preprint arXiv:2102.10757 (2021).

# 2 Related Work

SSL Pre-training	Graph View		SSL Category		
	2D Topology	3D Geometry	Generative	Contrastive	Predictive
EdgePred [1]	✓		✓		
AttrMask [2]	$\checkmark$		$\checkmark$		
GPT-GNN [3]	$\checkmark$		$\checkmark$		
InfoGraph [4]	$\checkmark$			$\checkmark$	
ContexPred [2]	$\checkmark$			$\checkmark$	
GraphLoG [5]	$\checkmark$			$\checkmark$	
GraphCL [6]	$\checkmark$			$\checkmark$	
JOAO [7]	$\checkmark$			$\checkmark$	
Grover [8]	✓				$\checkmark$
GraphMVP (Ours) [9]	✓	✓	✓	✓	

<sup>[1]</sup> Hamilton, William L., Rex Ying, and Jure Leskovec. "Inductive representation learning on large graphs." Proceedings of the 31st International Conference on Neural Information Processing Systems. 2017.

<sup>[2]</sup> Hu, Weihua, et al. "Strategies for pre-training graph neural networks." arXiv preprint arXiv:1905.12265 (2019).

<sup>[3]</sup> Hu, Ziniu, et al. "Gpt-gnn: Generative pre-training of graph neural networks." Proceedings of the 26th ACM SIGKDD International Conference on Knowledge Discovery & Data Mining. 2020.

<sup>[4]</sup> Sun, Fan-Yun, et al. "Infograph: Unsupervised and semi-supervised graph-level representation learning via mutual information maximization." arXiv preprint arXiv:1908.01000 (2019).

<sup>[5]</sup> Xu, Minghao, et al. "Self-supervised Graph-level Representation Learning with Local and Global Structure." arXiv preprint arXiv:2106.04113 (2021).

<sup>[6]</sup> You, Yuning, et al. "Graph contrastive learning with augmentations." Advances in Neural Information Processing Systems 33 (2020): 5812-5823.

<sup>[7]</sup> You, Yuning, et al. "Graph Contrastive Learning Automated." arXiv preprint arXiv:2106.07594 (2021).

<sup>[8]</sup> Grover, Rong, Yu, et al. "Self-supervised graph transformer on large-scale molecular data." arXiv preprint arXiv:2007.02835 (2020).

<sup>[9]</sup> Liu, Shengchao, et al. "Pre-training Molecular Graph Representation with 3D Geometry." arXiv preprint arXiv:2110.07728 (2021).

#### **Notations:**

- A: atom (node) attributes.
- E: bond (edge) attributes.
- R: atom (node) positions.

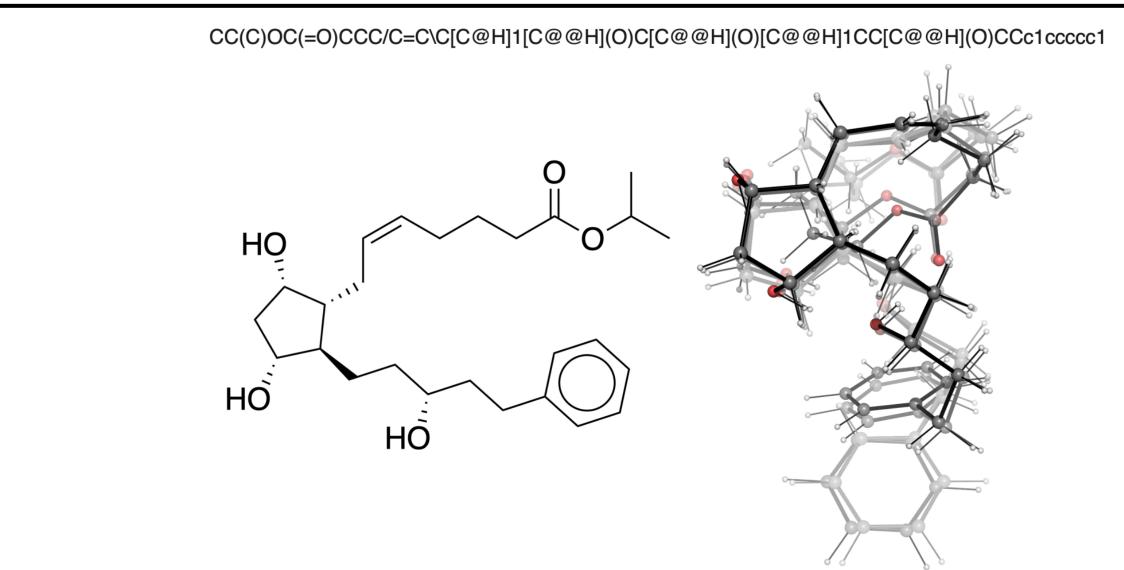
Molecule as 2D topological graph:

- x for a 2D molecular graph.
- $h_{\chi}$  for 2D representation,  $h_{\chi} = 2$ D-GNN(A, E).

Molecule as 3D geometric graph:

- y for a 3D molecular graph.
- $h_y$  for 3D representation,  $h_y = 3D$ -GNN(A, R).

From [1] Axelrod, Simon, and Rafael Gomez-Bombarelli. "GEOM: Energy-annotated molecular conformations for property prediction and molecular generation." *arXiv* preprint *arXiv*:2006.05531 (2020).



**Figure 1.** Molecular representations of the latanoprost molecule. *top* SMILES string. *left* Stereochemical formula with edge features, including wedges for in- and out-of-plane bonds, and a double line for *cis* isomerism. *right* Overlay of conformers. Higher transparency corresponds to lower statistical weight.

Energy-Based Model (EBM): 
$$p(x) = \frac{\exp(-E(x))}{A}$$
, where  $E(x)$  is the energy function, and  $A = \int_x \exp(-E(x)) dx$  is normalization constant / partition function.

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Bottleneck: intractable A

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- Bottleneck: intractable A
- Solutions:
  - Noise-Contrastive Estimation (NCE) [1, 2]
  - Contrastive Divergence
  - Score Matching

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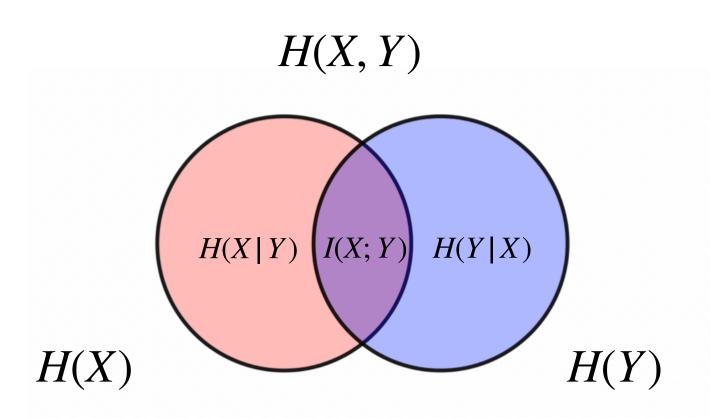
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# 4 Method: GraphMVP

- 4.1 MI and SSL
- 4.2 Contrastive SSL
- 4.3 Generative SSL
- 4.4 Multi-task Objective

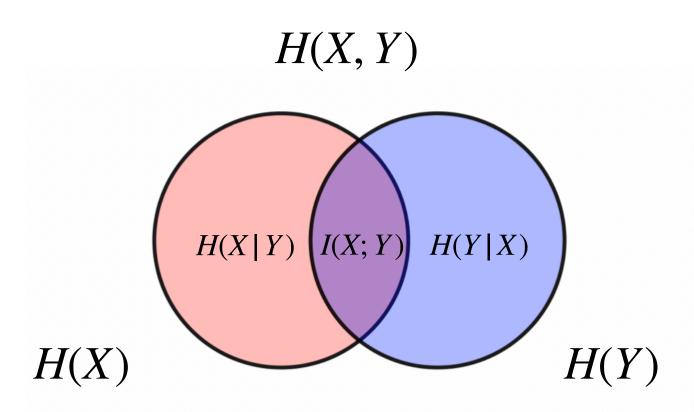
#### Mutual information (MI):

- measures the non-linear dependence between variables.
- the larger MI, the stronger dependence between variables.



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#### Maximizing MI between 2D and 3D views:

• Expect: obtain a more expressive 2D representation by sharing more information with its 3D counterparts.

$$I(X;Y) = \mathbb{E}_{p(x,y)} \left[ \log \frac{p(x,y)}{p(x)p(y)} \right]$$

$$\geq \mathbb{E}_{p(x,y)} \left[ \log \frac{p(x,y)}{\sqrt{p(x)p(y)}} \right]$$

$$= \frac{1}{2} \mathbb{E}_{p(x,y)} \left[ \log p(x|y) \right] + \frac{1}{2} \mathbb{E}_{p(x,y)} \left[ \log p(y|x) \right].$$

How to maximize this?

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How to maximize this?

GraphMVP proposes 2 frameworks — 1 contrastive and 1 generative SSL.

Lower bound on MI:

$$I(X; Y) \ge \frac{1}{2} \mathbb{E}_{p(x,y)} [\log p(x | y) + \log p(y | x)].$$

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If we model the log likelihood term with energy-based model (EBM):

$$\mathscr{L}_{\mathsf{EBM}} = -\frac{1}{2} \mathbb{E}_{p(x,y)} \Big[ \log \frac{\exp(f_{x}(x,y))}{A_{x|y}} + \log \frac{\exp(f_{y}(y,x))}{A_{y|x}} \Big].$$

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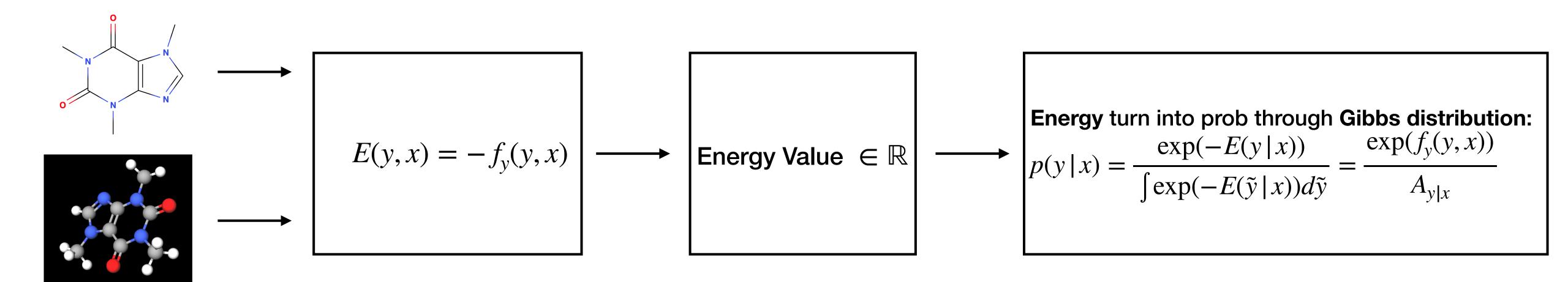
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What does this mean?

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Then with NCE, we have the final objective as EBM-NCE:

$$\begin{split} \mathcal{L}_{\mathsf{EBM-NCE}} &= -\frac{1}{2} \mathbb{E}_{p_{\mathsf{data}}(y)} \Big[ \mathbb{E}_{p_n(x|y)} [\log \left(1 - \sigma(f_x(x,y))\right)] + \mathbb{E}_{p_{\mathsf{data}}(x|y)} [\log \sigma(f_x(x,y))] \Big] \\ &- \frac{1}{2} \mathbb{E}_{p_{\mathsf{data}}(x)} \Big[ \mathbb{E}_{p_n(y|x)} [\log \left(1 - \sigma(f_y(y,x))\right)] + \mathbb{E}_{p_{\mathsf{data}}(y|x)} [\log \sigma(f_y(y,x))] \Big], \end{split}$$

where  $p_n$  is the noise distribution,  $f_x(x, y) = f_y(y, x) = \langle h_x, h_y \rangle$ .

#### EBM-NCE & Jensen-Shannon Estimation (JSE)

The formulations are similar, while there are 3 main differences:

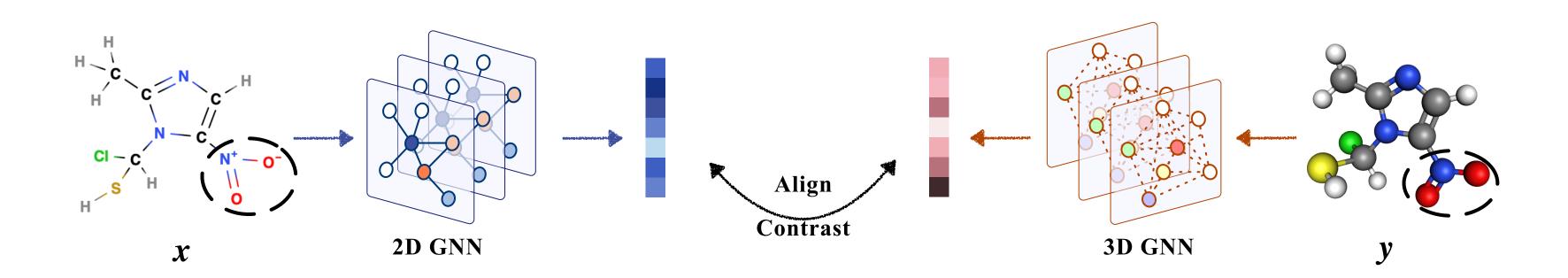
- Derivation and intuition:
  - JSE: f-divergence, variational estimation, Fenchel duality.
  - EBM-NCE: MI lower bound, EBM, NCE.
- Noise distribution:
  - JSE: MINE [1], empirical distribution for noise distribution.
  - EBM-NCE: recent work [2] extends it with adaptively learnable noise distribution.
- Flexibility:
  - EBM: score matching, contrastive divergence, etc.

#### **EBM-NCE & InfoNCE**

Both EBM-NCE and InfoNCE are aligning the positive pairs and contrasting the negative pairs.

Take either one of them for contrastive SSL, i.e.,

$$\mathcal{L}_{C} = \mathcal{L}_{InfoNCE}$$
 or  $\mathcal{L}_{C} = \mathcal{L}_{EBM-NCE}$ 



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#### Variational Molecule Reconstruction

We introduce a variational distribution  $z_x \sim \mathcal{N}(z_x; \mu_x, \Sigma_x)$ :

$$\log p(y | x) = \log \mathbb{E}_{p(z_x)}[p(y | x, z_x)] \ge \mathbb{E}_{q(z_x | x)}[\log p(y | z_x)] - KL(q(z_x | x) | | p(z_x)).$$

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Reconstruction

#### Limitation:

• Decoder for structured data. If the target data space, like 3D and 2D molecule, is discrete/structured, then the modeling and evaluation on this data space is hard.

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Reconstruction

#### Solution:

#### Variational Representation Reconstruction (VRR)

Let's transfer the reconstruction from data space to representation space.

#### Variational Molecule Reconstruction

$$\log p(y|x) = \log \mathbb{E}_{p(z_x)}[p(y|x, z_x)] \ge \mathbb{E}_{q(z_x|x)}[\log p(y|z_x)] - KL(q(z_x|x)||p(z_x)).$$

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#### Variational Representation Reconstruction

Let's transfer the reconstruction from data space to representation space.

Recall: If is y is continuous, we can use Gaussian for the likelihood:  $||y - g_x(z_x)||^2$ , where  $g_x(z_x)$  is the decoder

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### Variational Representation Reconstruction

Let's transfer the reconstruction from data space to representation space.

1. If is y is discrete and structured, then we propose this surrogate loss:  $||h_v(y) - h_v(g_x(z_x))||^2$ , where  $h_v$  is the encoder on y

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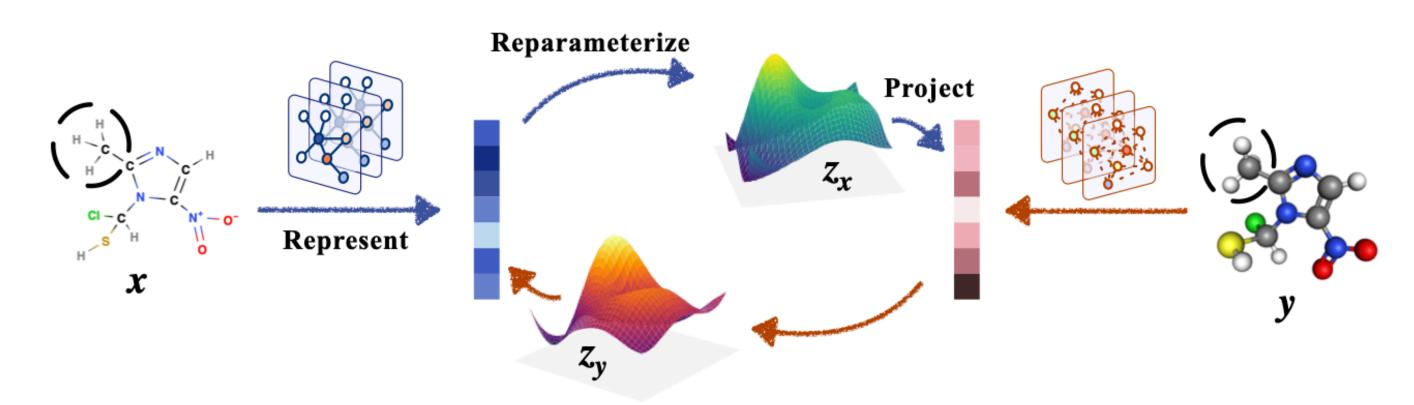
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- 2. By approximation:  $||h_y(y) q_x(z_x)||^2$
- 3. Add stop-gradient:  $\|\operatorname{SG}(h_{\mathbf{y}}(y)) q_{\mathbf{x}}(z_{\mathbf{x}})\|^2$

Final solution (VRR):

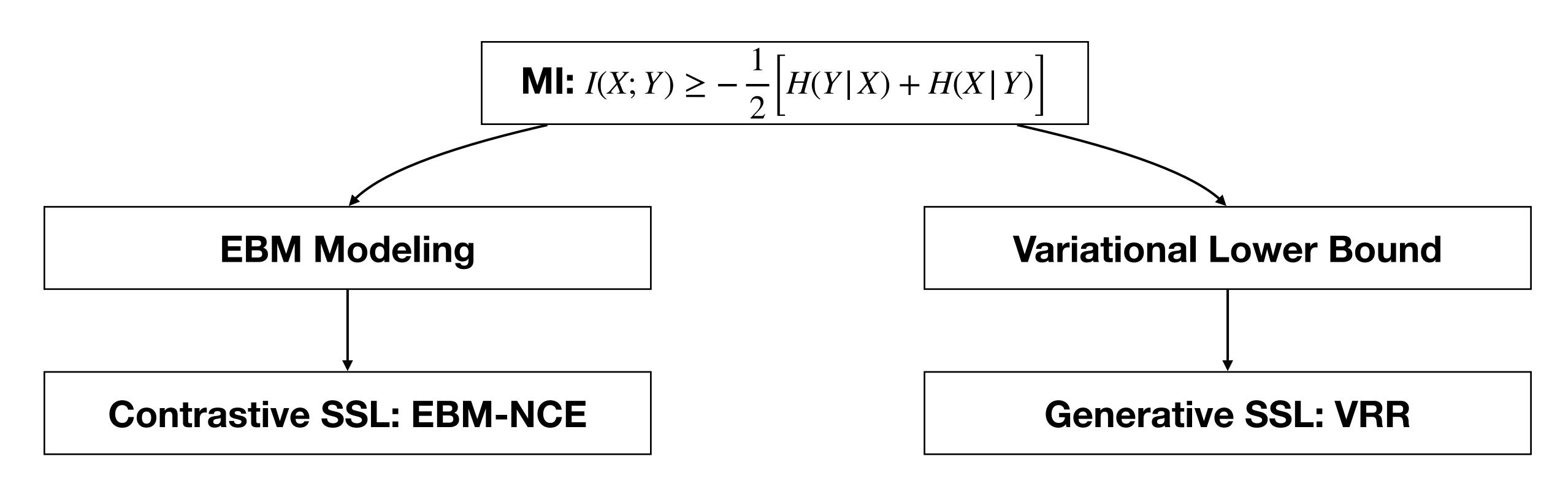
$$\mathcal{L}_{\mathsf{G}} = \mathcal{L}_{\mathsf{VRR}} = \frac{1}{2} \Big[ \mathbb{E}_{q(z_x|x)} \Big[ \|q_x(z_x) - \mathsf{SG}(h_y)\|^2 \Big] + \mathbb{E}_{q(z_y|y)} \Big[ \|q_y(z_y) - \mathsf{SG}(h_x)\|_2^2 \Big] \Big] + \frac{\beta}{2} \cdot \Big[ KL(q(z_x|x) | |p(z_x)) + KL(q(z_y|y) | |p(z_y)) \Big].$$



**Notice 1**: this surrogate loss can be exact if  $h_x/h_y$  is continuous invertible.

Notice 2: this is another form of non-contrastive SSL (BYOL/SimSiam).

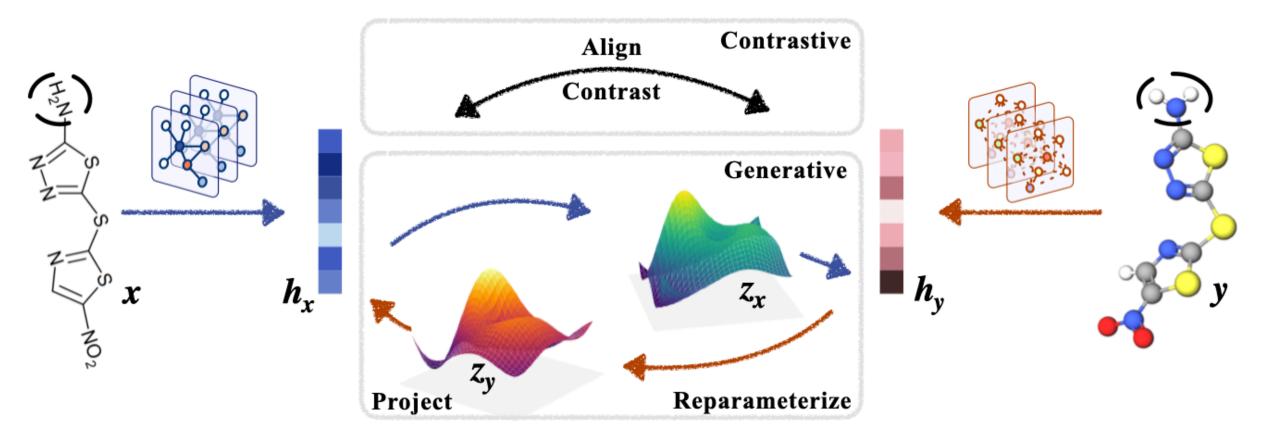
# 4.4 Multi-task Objective



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The objective is weighted sum of the contrastive and generative SSL:

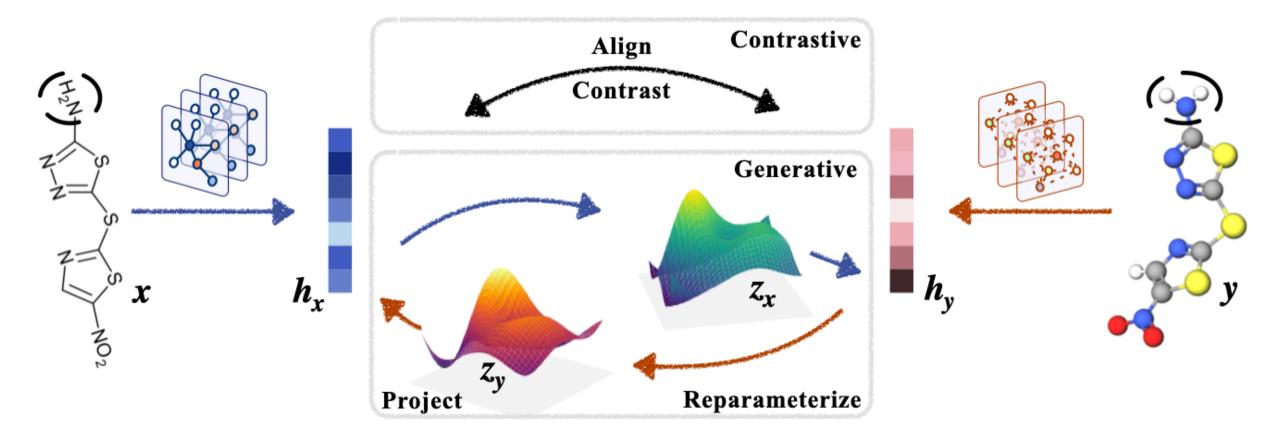
$$\mathscr{L}_{GraphMVP} = \alpha_1 \cdot \mathscr{L}_{C} + \alpha_2 \cdot \mathscr{L}_{G}.$$



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$$\mathscr{L}_{GraphMVP} = \alpha_1 \cdot \mathscr{L}_{C} + \alpha_2 \cdot \mathscr{L}_{G}.$$



Contrastive and generative SSL are complementary.

- From representation learning:
  - Contrastive SSL is inter-data.
  - Generative SSL is intra-data.
- From distribution learning:
  - Contrastive SSL is learning distribution in a local way: by contrasting negative pairs.
  - Generative SSL is learning distribution in a global way: learning the data density function directly.

## 5 Experiments

#### Datasets:

- Pre-training
  - GEOM [1], 50k molecules, each with 5 conformers.
- Downstream
  - Molecular Property Prediction:
    - Physiology: Tox21, ToxCast, ClinTox, BBBP, Sider.
    - Physical chemistry: ESOL, Lipophilicity, CEP.
    - Biophysics: MUV, BACE, Hiv, Malaria.
  - Drug-Target Interaction:
    - Davis, KIBA.

Dataset	Task	# Tasks	# Molecules	# Proteins	# Molecule-Protein
BBBP	Classification	1	2,039		
Tox21	Classification	12	7,831		
ToxCast	Classification	617	8,576		
Sider	Classification	27	1,427		
ClinTox	Classification	2	1,478		
MUV	Classification	17	93,087		
HIV	Classification	1	41,127		
Bace	Classification	1	1,513		
Delaney	Regression	1	1,128		
Lipo	Regression	1	4,200		
Malaria	Regression	1	9,999		
CEP	Regression	1	29,978		
Davis	Regression	1	68	379	30,056
KIBA	Regression	1	2,068	229	118,254

#### Backbone models:

- GIN [2] for 2D GNN.
- SchNet [3] for 3D GNN.

<sup>[1]</sup> Axelrod, Simon, and Rafael Gomez-Bombarelli. "GEOM: Energy-annotated molecular conformations for property prediction and molecular generation." arXiv preprint arXiv:2006.05531 (2020).

<sup>[2]</sup> Xu, Keyulu, et al. "How powerful are graph neural networks?." arXiv preprint arXiv:1810.00826 (2018).

<sup>[3]</sup> Schütt, Kristof T., et al. "Schnet-a deep learning architecture for molecules and materials." The Journal of Chemical Physics 148.24 (2018): 241722.

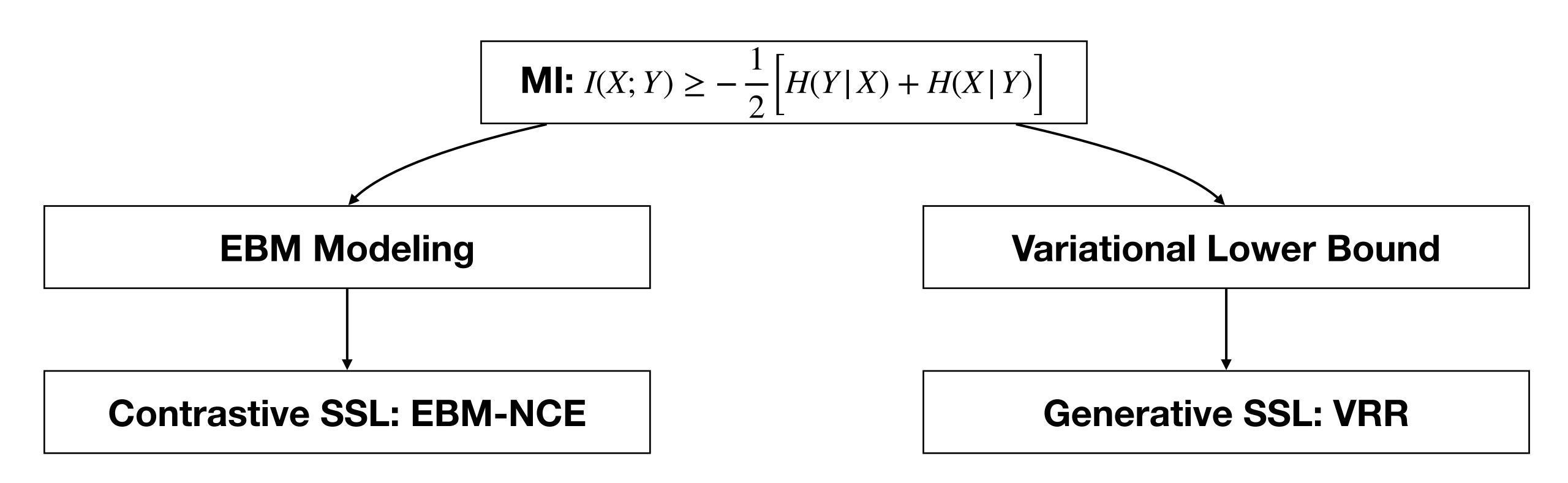
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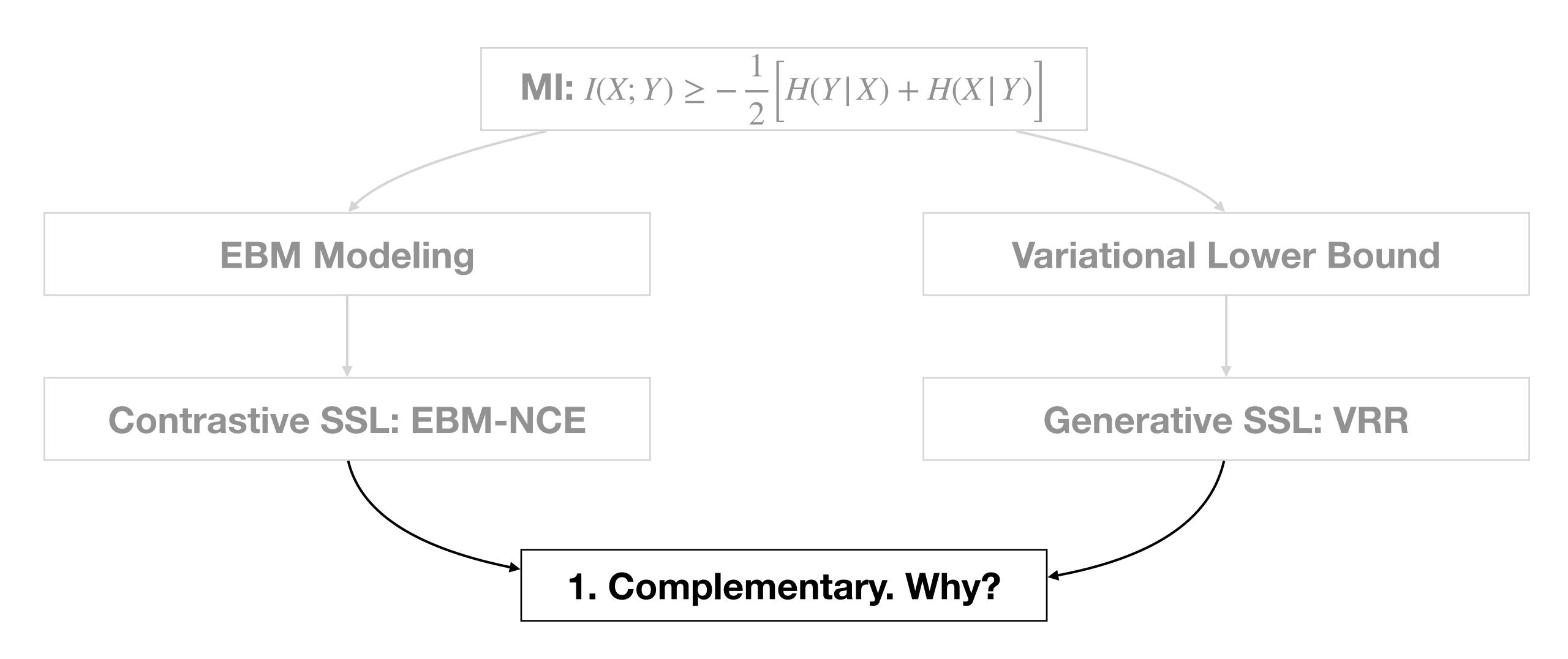
Table 1: Results for molecular property prediction tasks. For each downstream task, we report the mean (and standard deviation) ROC-AUC of 3 seeds with scaffold splitting. For GraphMVP, we set M=0.15 and C=5. The best and second best results are marked **bold** and **bold**, respectively.

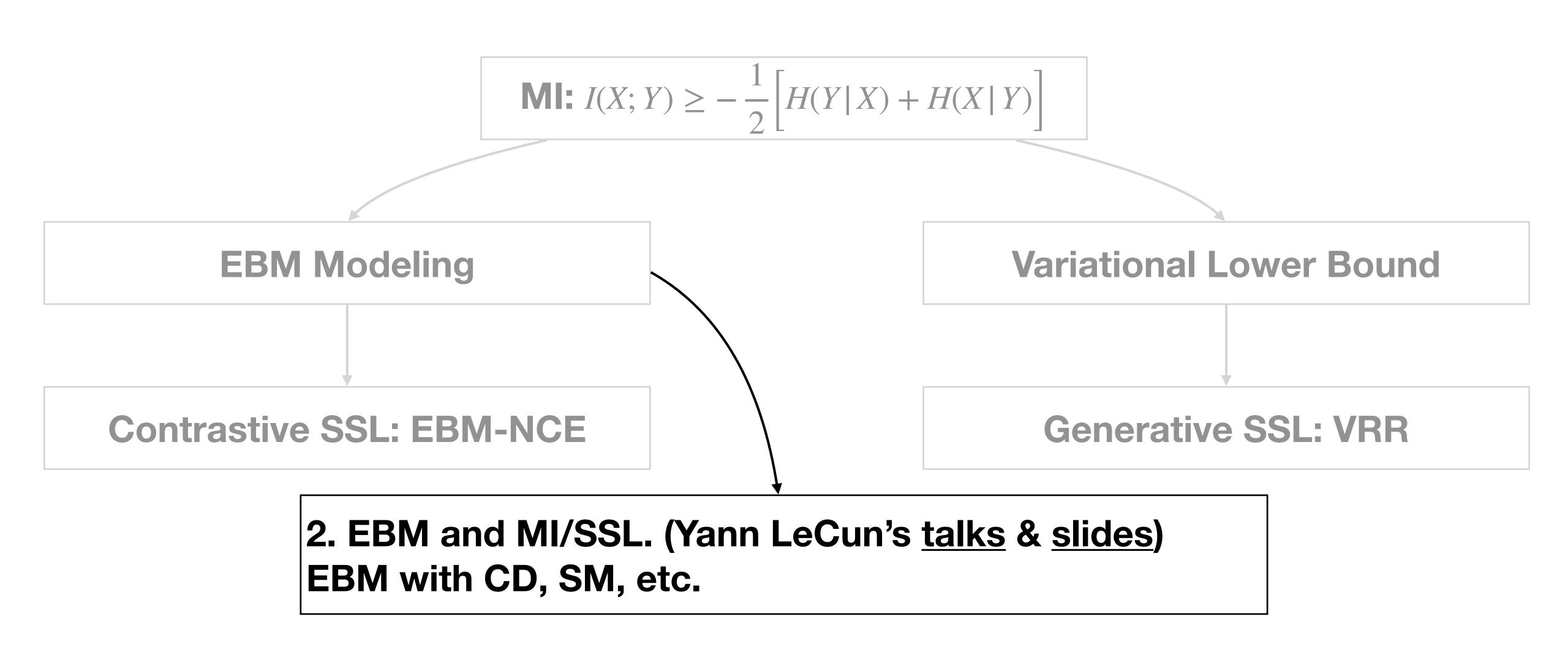
Pre-training	BBBP	Tox21	ToxCast	Sider	ClinTox	MUV	HIV	Bace	Avg
_	65.4(2.4)	74.9(0.8)	61.6(1.2)	58.0(2.4)	58.8(5.5)	71.0(2.5)	75.3(0.5)	72.6(4.9)	67.21
EdgePred	64.5(3.1)	74.5(0.4)	60.8(0.5)	56.7(0.1)	55.8(6.2)	73.3(1.6)	75.1(0.8)	64.6(4.7)	65.64
AttrMask	70.2(0.5)	74.2(0.8)	62.5(0.4)	60.4(0.6)	68.6(9.6)	73.9(1.3)	74.3(1.3)	77.2(1.4)	70.16
<b>GPT-GNN</b>	64.5(1.1)	75.3(0.5)	62.2(0.1)	57.5(4.2)	57.8(3.1)	76.1(2.3)	75.1(0.2)	77.6(0.5)	68.27
InfoGraph	69.2(0.8)	73.0(0.7)	62.0(0.3)	59.2(0.2)	75.1(5.0)	74.0(1.5)	74.5(1.8)	73.9(2.5)	70.10
ContextPred	71.2(0.9)	73.3(0.5)	62.8(0.3)	59.3(1.4)	73.7(4.0)	72.5(2.2)	75.8(1.1)	78.6(1.4)	70.89
GraphLoG	67.8(1.7)	73.0(0.3)	62.2(0.4)	57.4(2.3)	62.0(1.8)	73.1(1.7)	73.4(0.6)	78.8(0.7)	68.47
G-Contextual	70.3(1.6)	75.2(0.3)	62.6(0.3)	58.4(0.6)	59.9(8.2)	72.3(0.9)	75.9(0.9)	79.2(0.3)	69.21
G-Motif	66.4(3.4)	73.2(0.8)	62.6(0.5)	60.6(1.1)	77.8(2.0)	73.3(2.0)	73.8(1.4)	73.4(4.0)	70.14
GraphCL	67.5(3.3)	75.0(0.3)	62.8(0.2)	60.1(1.3)	78.9(4.2)	77.1(1.0)	75.0(0.4)	68.7(7.8)	70.64
JOÃO	66.0(0.6)	74.4(0.7)	62.7(0.6)	60.7(1.0)	66.3(3.9)	77.0(2.2)	76.6(0.5)	72.9(2.0)	69.57
GraphMVP	68.5(0.2)	74.5(0.4)	62.7(0.1)	62.3(1.6)	79.0(2.5)	75.0(1.4)	74.8(1.4)	76.8(1.1)	71.69
GraphMVP-G	70.8(0.5)	75.9(0.5)	63.1(0.2)	60.2(1.1)	<b>79.1(2.8)</b>	77.7(0.6)	76.0(0.1)	79.3(1.5)	<b>72.76</b>
GraphMVP-C	72.4(1.6)	74.4(0.2)	63.1(0.4)	63.9(1.2)	77.5(4.2)	75.0(1.0)	77.0(1.2)	81.2(0.9)	<u>73.07</u>

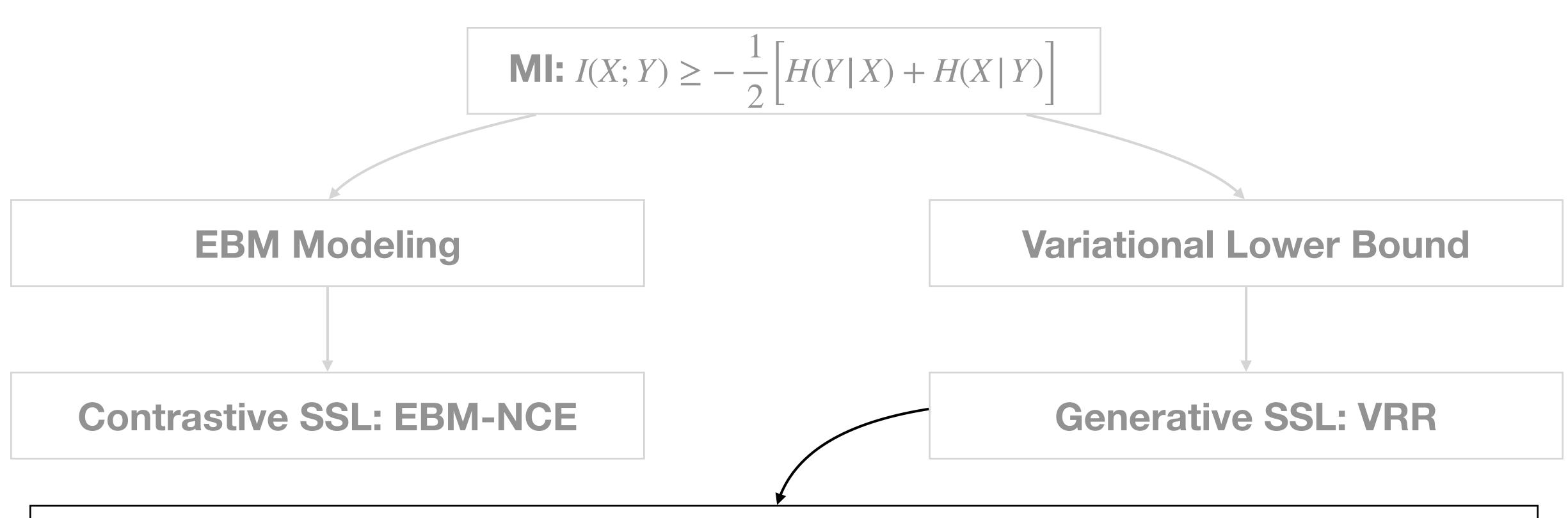
Table 5: Results for four molecular property prediction tasks (regression) and two DTA tasks (regression). We report the mean RMSE of 3 seeds with scaffold splitting for molecular property downstream tasks, and mean MSE for 3 seeds with random splitting on DTA tasks. For GraphMVP, we set M=0.15 and C=5. The best performance for each task is marked in **bold**. We omit the std here since they are very small and indistinguishable. For complete results, please check Appendix G.4.

	Molecular Property Prediction					Drug-Target Affinity		
Pre-training	ESOL	Lipo	Malaria	CEP	Avg	Davis	KIBA	Avg
_	1.178	0.744	1.127	1.254	1.0756	0.286	0.206	0.2459
AM CP JOAO	1.112 1.196 1.120	0.730 0.702 0.708	1.119 1.101 1.145	1.256 1.243 1.293	1.0542 1.0606 1.0663	0.291 0.279 0.281	0.203 0.198 0.196	0.2476 0.2382 0.2387
GraphMVP GraphMVP-G GraphMVP-C	1.091 1.064 <u><b>1.029</b></u>	0.718 0.691 <b>0.681</b>	1.114 1.106 <b>1.097</b>	1.236 <b>1.228</b> 1.244	1.0397 1.0221 <b>1.0128</b>	0.280 <b>0.274</b> 0.276	0.178 0.175 <b>0.168</b>	0.2286 0.2248 <b>0.2223</b>



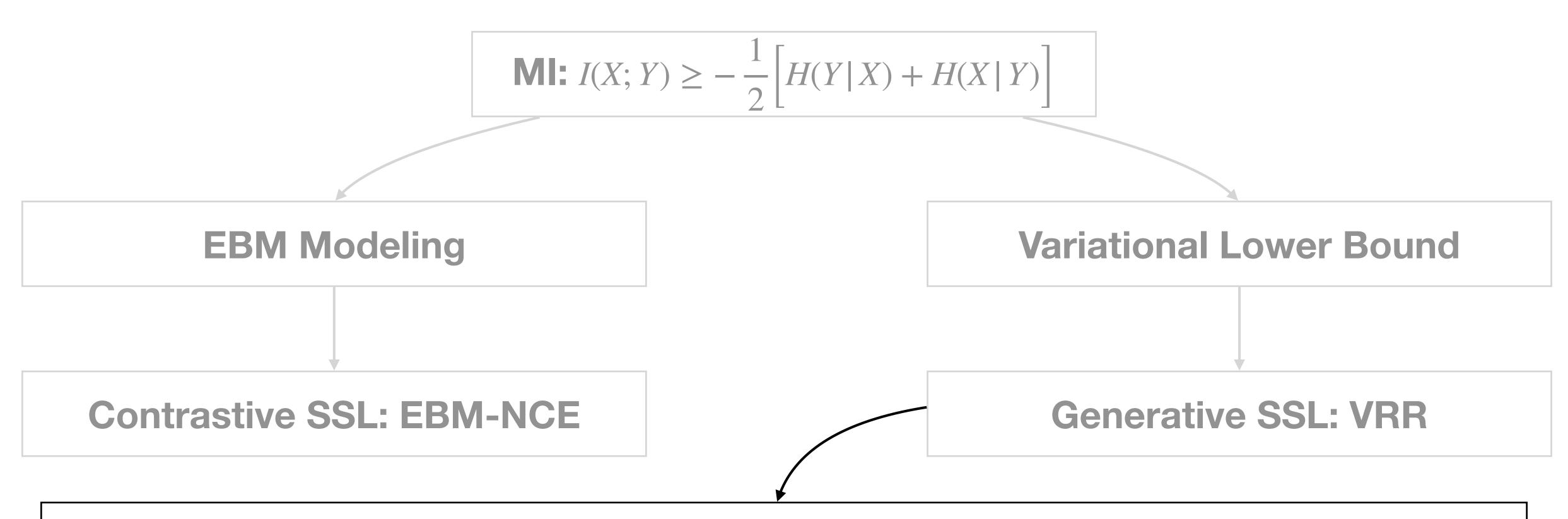






- 3. Another way to understand non-contrastive SSL.
- Q: If BYOL/SimSiam work, does this mean other generative SSL can also work well?

[1] He, Kaiming, et al. "Masked autoencoders are scalable vision learners." arXiv preprint arXiv:2111.06377 (2021).



#### 3. Another way to understand non-contrastive SSL.

- Q: If BYOL/SimSiam work, does this mean other generative SSL can also work well?
- A: Yes! [1] provides the empirical evidence.

[1] He, Kaiming, et al. "Masked autoencoders are scalable vision learners." arXiv preprint arXiv:2111.06377 (2021).

# Thank you! Q&A